

Affirmative Action Planning Guidebook -Guidlelines For Affirmative Action Planning Date of Report: 1988 Author: G.n.w.t. - Personnel

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GUIDELINES FOR AFFIRMATIVE ACTION PLANNING





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1. INTRODUCTION

1.1 PURPOSE OF THE GUIDEBOOK

The purpose of this guidebook is to provide specific guidelines to managers in departments and agencies to develop Affirmative Action Plans to meet the Affirmative Action Policy goals.

1.2 MANDATE

The mandate of the Minister of Personnel is to serve the departments, boards and agencies of the Government of the Northwest Territories, in their efforts to recruit, develop and maintain the people they require to carry out the work of the government and to ensure the fair and equitable treatment of employees.

1.3 GOAL

A qualified representative public service will be recruited, developed, and maintained.

1.4 DIVISION OVERVIEW

The Equal Employment Division helps departments and agencies of government develop and maintain a public service which is representative of the population of the Northwest Territories. It is the focal point within the Government of the Northwest Territories for affirmative action planning to promote the employment, training and development of under-represented groups in the Public Service.

The Minister receives advice form the Northwest Territories Affirmative Action Advisory Committee. The Equal Employment Division provides administrative support to the Committee.

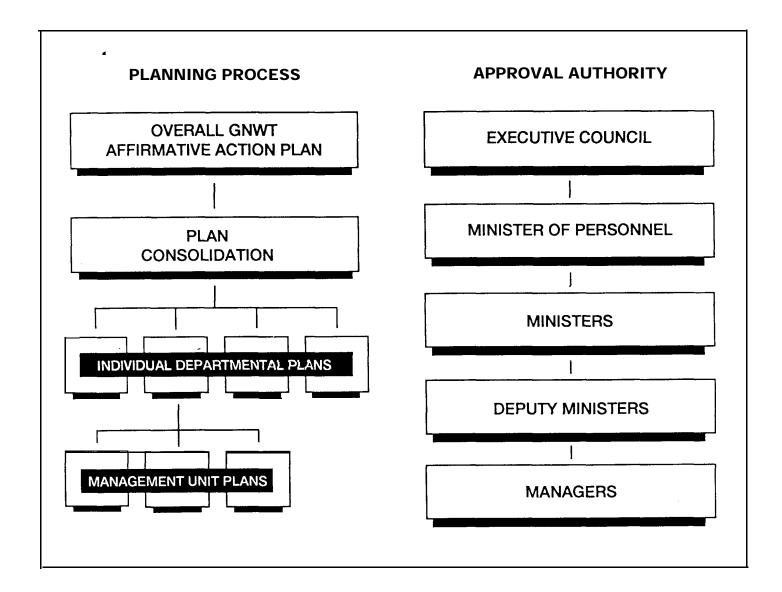
1.5 PURPOSE

To coordinate, monitor, and report progress on government-wide affirmative action planning. To provide guidance to departments, agencies and assist **managers** in their efforts to implement departmental action plans.

1.6 FURTHER INFORMATION

Additional information and assistance for the development of Affirmative Action Plans is available through the:

Equal Employment Division Department of Personnel (403) 920-8900



2. AFFIRMATIVE ACTION POLICY

2.1 POLICY STATEMENT

The Government of the Northwest Territories is committed to a competent Public Service that is representative of the population it serves. To that end, the government will undertake affirmative action measures to achieve equality in employment and career development of qualified suitable and eligible target group persons.

Principles

 Individuals have the responsibility to plan and initiate action to take advantage of Public Service employment, training, and career advancement opportunities.

- 2. The Government of the Northwest Territories should encourage eligible target group persons to seek career and training opportunities in the Public Service.
- No individual seeking employment, training or career advancement opportunities with the Public Service should be disadvantaged or discouraged by attitudinal or systemic barriers.
- Eligible target group persons in the Northwest Territories will have preferred access to employment, training, and career advancement opportunities in the government.
- 5. Affirmative action is a positive and integral part of the human resource planning process.

2.2 DEFINITIONS

Affirmative Action

Means the application of measures designed to increase the representation of eligible target groups under-represented within the Public Service.

Affirmative Action Plan

Means the coordinated set of strategies and measures directed toward achieving equality in employment and career development of eligible target groups.

Attitudinal or Systemic Barner

Means any attitude, system, policy, practice or procedure differentiating between persons which denies eligible target groups equality in employment and career development.

Disabled Persons

Means those persons who are at a disadvantage as a result of a medically certified learning, mental, emotional or physical disability which handicaps the persons from taking advantage of employment, training, and career advancement opportunities in a way which would not be encountered by a person without a disability.

Indigenous Aboriginal Persons

Means those persons who are descendants of the Dene, Inuit or Metis people, indigenous to the present boundaries of the Northwest Territories and includes any aboriginal persons resident at birth pursuant to section 7.1 of the *Vital Statistics Act* and any Canadian aboriginal persons who have lived more than half of their lives in the Northwest Territories.

Indigenous Non-Aboriginal Persons

Means those non-aboriginal persons born in the Northwest **Territories**, or who have lived more than half their lives in the Northwest Territories.

Northwest **Territories Affirmative Action Advisory Committee**

Means a committee established by the Executive Council composes of nominees from unions, native organizations and special interest groups that provides advice to the Minister of Personnel.

Public Service

Means the public service of the Government of the Northwest Territories as defined by the *Public Service Act*.

Resident

Means any person resident in the Northwest Territories for a period of at least one year.

2.3 **PROVISIONS**

1. Authority and Accountability

- (a) Executive Council
 - Establishes an overall planning process, sets priorities and approves strategies for affirmative action for the Government of the Northwest Territories;
 - (ii) Approves the overall Government Affirmative Action Plan and departmental affirmative action plans;
 - (iii) Approves the appointment of members to the Northwest I-em-tories Affirmative Action Advisory Committee.

(b) Minister of Personnel

 (i) Recommends an overall planning process, priorities and strategies for affirmative action for the Government of the Northwest Territories;

- (ii) Recommends the overall Government Affirmative Action Plan;
- (iii) initiates development of departmental affirmative action plans;
- (iv) Reviews and recommends departmental affirmative action plans;
- (v) Ensures evaluation of affirmative action planning measures;
- (vi) Recommends nominees to the Northwest Territories Affirmative Action Advisory Committee;
- (vii) Represents the Executive Council on affirmative action matters external to the Public Service.

(c) Deputy Minister of Personnel

- (i) Proposes an overall planning process, priorities and strategies for affirmative action for the Government of the Northwest Territories;
- (ii) Coordinates government-wide **affirma**tive action measures;
- (iii) Reviews affirmative action plans to ensure consistency with the overall planning process, priorities and strategies;
- (iv) Advises departments and agencies respecting affirmative action planning;
- (v) Monitors the effectiveness of affirmative action planning;
- (vi) Provides support to the Northwest Territories Affirmative Action Advisory Committee.

(d) Department Heads

Develop and implement affirmative action plans consistent with the government's overall planning process, priorities and strategies. In fulfilling this responsibility, department heads shall consult with Regional Directors and the Department of Personnel.

2. Planning and Implementation

(a) Within the context of an overall government affirmative action planning process, consistent with approved priorities and strategies, all departments and agencies within the Public Service will plan and implement **affirmative** action measures.

- (b) Such planning shall encompass:
 - (i) encouraging candidacy for underrepresented occupations and levels within the Public Service;
 - (ii) recruiting, training, and promoting within under-represented occupations and levels of the Public Service;
 - (iii) removing attitudinal and systemic barriers.
- (c) Such planning shall incorporate approved strategies, such as:
 - (i) giving preference in employment, training, and promotion;
 - (ii) counseling of potential candidates;
 - (iii) providing public information;
 - (iv) advertising; and
 - (v) training.
- (d) Planning will identify the actions to be taken, provide reasons for the actions, detail how the plan will be implemented, outline the time frame, assessing responsibility, establish monitoring and evaluation requirements.

3. Employment, Training and Promotion

The Government of the Northwest Territories will give preference in employing, training and promoting qualified, suitable and eligible target group persons. Preference will be applied to achieved representativeness only in specified occupations or levels identified in approved affirmative action plans.

- (a) Eligible target groups are:
 - -Indigenous aboriginal persons
 - -Resident women
 - -Resident disabled persons
 - -Indigenous non-aboriginal persons

- (b) The merit principle applies among these target groups, except in the following circumstances:
 - (i) priority will be assigned to qualified indigenous aboriginal persons;
 - (ii) when special measures are applied to correct a disadvantage being experienced by a specific group.
- (c) Individuals may qualify for preference through only one target group.
- (d) Where warranted, special circumstances will be reviewed on a case by case basis by the Minister of Personnel.

4. Rights and Freedoms

The Government of the Northwest Territories Affirmative Action Policy shall conform to the Equality Rights provisions of the *Canadian Human Rights Act* and Section 15 of the *Canadian Charter of Rights and Freedoms*.

5. Policy Review

In recognition that requirements for an Affirmative Action Policy may change, the policy will be reviewed in the year 1994, or sooner, if, for any eligible target group the objective of a representative Public Service has been achieved.

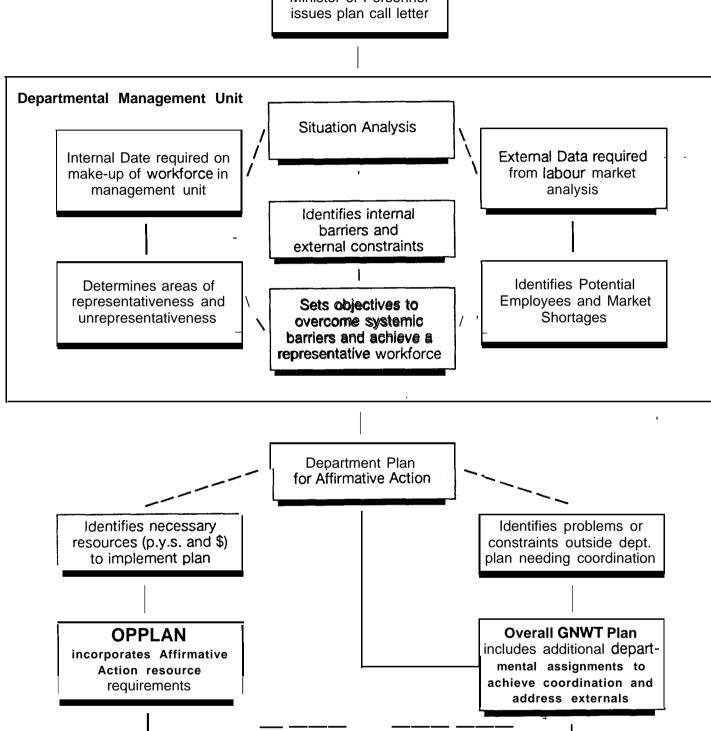
6. Prerogative of Executive Council

Nothing in this Directive shall in any way be construed to limit the prerogative of the Executive Council to make decisions to exercise affirmative action for other underrepresented groups or any action outside the provision of this Directive.

AFFIRMATIVE ACTION PLANNING PROCESS

Affirmative Action Policy sets the framework for planning

> Minister of Personnel issues plan call letter



3. DEVELOPING AN ACTION PLAN

Action Plans are to be completed by each manager for their management unit. This is compiled at the department head level, where a Departmental Action Plan is consolidated, and management unit Plans are reviewed. In turn, Departmental Plans are reviewed and consolidated to form a comprehensive Government wide Action Plan.

3.1 FOCUS

Action Plans will have two main areas of focus:

- The establishment of numerical information foridentifyingtheunder representation of target group members within the Public Service, and the potential within the Northwest Territories labour force to correct these imbalances.
- The development of objectives and strategies aimed at providing for the hiring, training, and promotion of target group members.

3.2 COMPONENTS OF AN ACTION PLAN

Action Plans will be comprised of four **components**:

- situation analysis
- numerical information
- objectives and strategies
- resources required

A situation analysis is the primary component for formulating an Action Plan. Situation analysis describes the level of representation for target groups within each job group in the department. To complete this analysis, the manager must identify those systems, policies, and practices within their management unit which directly or indirectly limit hiring, training, and promotional opportunities for target group members.

Departmental human resource contacts can be of assistance in formulating the manner in which **situation analysis** is completed. They are involved with verification of data on the representation and distribution of target group members in their departments. The Human Resource Planning Division of the Department of Personnel can be contacted for consultative services in completing the **situation analysis**.

Numerical information is for increasing the representation and distribution of target groups through hiring, training, and promotion within those job groups where they are under represented relative to availability. Management unit and departmental targets will be established based on the current level of under representation, the anticipated number of positions to be filled within the department, and the estimated availability of qualified target group members.

The manager must prepare **numerical infor-mation** regarding the representation and distribution of target group members within their management unit. This information must be established based on the assessed level of under representation and distribution. The following pages contain forms to be completed, which have been designed to assist managers in providing this information and include a classification breakdown of those positions within occupational categories, as well as an example (actual data only).

In establishing **numerical information**, labour market statistics on the availability of target group members externally may be obtained through the Department of Education, and external demographic statistics" may be obtained from the Bureau of Statistics. Although departmental human resource contacts are involved with the verification of their own data, the Department of Personnel can supply data on the representation and distribution of target groups within the G. **N.W.T.** as a whole. The Human Resource Information System is available for managers to access more detailed data.

AFFIRMATIVE ACTION PLANNING OBJECTIVES

TARGET GROUP	OCCUPATIONAL GROUP
IDENTIFIED BARRIER	
STRATEGY TO OVERCOME BARRIER	
MEASURES OF SUCCESS	
RESPONSIBILITY CENTER	
TIME FRAME	
Date	Management Unit

Other objectives and activities include affirmative action measures aimed at neutralizing barriers to the hiring, training, and promotion of target group members. These measures must be developed to alleviate any barriers identified during the situation analysis. These objectives and activities shall include approved strategies such as:

- (i) Giving preference in employment, training, and promotion. The Department of Personnel and the Department of Education can provide consultative services.
- (ii) Counseling of potential candidates. Equal Employment Officers within the Department of Personnel can offer counselling services to target group members on hiring, training, and promotion.
- (iii) Providing public information. The Department of Personnel is responsible for the promotion of affirmative action within the G. N.W.T. The Department of Culture and Communications can provide consultative services specific to client department needs.
- (iv) Advertising. The Department of Culture and Communications can provide consultative services.
- (v) Training. The Department of Education can provide consultative services.

Managers should also identify human and financial resources required to implement their Plan. These resources should be sought through the normal operational planning and budgetary procedures. The objectives form on the opposite page may be useful to managers.

4. PIAN SUBMISSION

4.1 TIME FRAME

Each G. N.W.T. department and agency must submit a comprehensive Action Plan to the Department of Personnel by March 31 to coincide with the OPPLAN process. In order to accomplish this, departments must initiate action planning no later than February 1.

4.2 REQUIREMENTS

In completing the exercise the manager should ask the question of who, what, where, when, and how their plan will contribute to a representative Public Service. The following elements must be included in the submission:

Who is the Plan aimed at? Numerical data on the distribution of target group people within each job category, group and level, to identify areas of under representation.

Who is responsible for carrying out key activities? Specific positions where responsibility resides.

Where are there barriers to the hiring, training, and promotion of target group members? The results of internal review systems, policies and procedures, and external conditions or limitations.

When will success be attained? Specific annual and multi year objectives designed to increase the level of representation of target group members within the departments workforce, within pre-defined time frames.

What resources will be dedicated to this Plan? Specific human and financial resources to be allocated.

How will pro-active activities be used? Support programs to help target group members adjust to G. N.W.T. employment. Steps to be taken to address systemic and attitudinal barriers to the employment of target group members.

4.3 REPORTING FORMAT

Action Plans and Annual Reports are submitted to the Deputy Minister of the Department of Personnel by the department head. They must contain the following:

- situation analysis
- numerical information
- objectives and strategies
- resources required

5. RESPONSIBILITY AND ACCOUNTABILITY

It is the responsibility of every supervisor and manager in the public service to develop and initiate affirmative action plans in order to meet the goals of the Affirmative Action Policy.

Cabinet has directed that the development and achievement of departmental affirmative action plans shall be a factor to be considered as part of the annual senior officer's performance review process.

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CATE	GRY GROUF)	CLASS	FILL. Posit. Nat wom DIS In-N	FILL. POSIT. NAT WOMDIS IN-N	FILL. POSIT. NAT WOMDIS IN-N	FILL. POSIT. NAT WOM ois IN-N	FILL Posit. Nat Womdis in-N	FILL. POSIT. NAT WOMDIS IN-N
סי	EC	ı	3011						
PD	EC	ı. II			_				
סי	EC		3013						
PD	LO	ı	3041						-
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	LO		3043						
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PD	DS	11	3102						
O	DS	111	3103						
PD	DS	lv	3104						
D	DS	٧	3105						
סי	IS	I	3201						
PD	IS	II	3202						
PD	IS	III	3203						
D	ls	IV	3204						
PD	IS	٧	3205						
D	ISCX	1	3221						
QŞ	ISCX	11	3222						
PD	ISCX	III	3223						
D	ISCX	IV	3224						
PD	ES	I	3301						
PD	ES	II	3302						
PD	ES	III	3303						
PD	IT	I	3401						

(OPERATIONAL - PROGRAM DELIVERY) FORM-AA6 DEPARTMENT OF DIVISION: - ACTUAL (DEC. S9) - - PLAN (DEC. 90) - - PLAN (DEC. 91) - - PLAN (DEC. 92) - - PLAN (DEC. 93) - - PLAN (DEC. 94) -REGION: TOT # TARGET GROUPS FILL. CATEGORY FILL. FILL. FILL. FILL. POSIT. NAT WOM DIS IN-N POSIT, NAT WOM DIS IN-N POSIT. NAT WOM DIS IN-N POSIT. NAT WOM DIS IN-N GROUP CLASS POSIT. NAT WOM DIS IN-N POSIT. NAT WOM DIS IN-N PD IT ll 3402 PD ΙT 111 3403 PD CC I 3501 PD CC II 3502 III 3503 PD CC Ccsu I 3511 Ccsu II 3512 PD CCCH I 3521 PD IF I 3601 PD IF II 3602 IF PDIII 3603 IF PD lv 3604 PD AL I ' 3801 PD AL II 3802 PD AL III 3s03

HC HS IV 6304

OP (PROG. DEL) TOTAL:

DEPARTMENT TOTAL:

GOVERNMENT OF THE NORTHWEST TERRITORIES - EMPLOYMENT GROUPS

CATEGORY		MANAGEMENT			PROFESSIONAL					TECHNICAL				ADMINISTRATIVE SERVICES				OPERATIONAL				OPERATIONAL			
AS AF V 1105 TTA N 1 3033 N 11 1602 N 11 1012 N 122 PD N 1 3033 N 11 1603 N 11 1013 G N 11 2102 N 1 3013 AS AFPR I 1 122 PD N 1 3033 N 11 1603 N 11 10013 G N 11 2102 N 1 3013 AS AFPR I 1 122 PD N 1 3013 N 1 10014 G N 1 1 2001 PD LO I 3014 AS AS AFPR I 1 1012 PD N 1 1 3010 TK AE II 4010 TK AE III	CATE	GROUP			GROUP C				ASS GROUP				GROUP				GROUP			GROUP					
AS AFP 1 1122 PD N 1 3303 N 1 1604 AS AFP N 1 1722 PD N 1 3011 AS AFP N 1 1722 PD N 1 3011 AS AFP N 1 3011 AS	AS	AU	V	1015	AS	PELG	ı	1611	AS	PE	ı		AS	AU	•		GL	DC	1		PD	EC			
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VIII 3207 VIII 3208 VIII 6533 HC NS 1 4503 VIII 1212 TR OT 5301 PD ES 1 3301 3301 3303 VIII 3302 VIII 3303							lv	6524	TK	СО	ı				ŅΙ										
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HAY PLAN 8000 PD WCAJ 3021 (PROGRAM DELIVERY) HAY PLAN 9000 TK Coss 4511 4512 11 4513'		DE																							
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